

EUROPEAN COMMISSION JOINT RESEARCH CENTRE

Directorate F – Health and Food **Disease Prevention**

Annual Declaration of Interests¹ (DoI) form (v3)

European Commission Initiative on Breast Cancer (ECIBC)

Please answer each of the questions below.

If the answer to any of the questions is "Yes"², please complete the corresponding fields, describing the circumstances, as appropriate.

If you do not disclose relevant activities of interest for the ECIBC, your role may be revised. If you do not submit a filled-in and signed DoI form, please consider that you cannot be involved in the current ECIBC activities.

each member of an expert group has to complete a DoI form According to European Commission's Decision C(2016) 3301 establishing horizontal rules on the creation and operation of Commission expert groups, Articles 2(4) and 11

https://ec.europa.eu/transparency/documents-register/detail?ref=C(2016)3301&lang=en

² Answering "Yes" to the questions on this form does not automatically limit your participation in the ECIBC activities. Your answers will be reviewed to determine whether you have a conflict of interest (CoI) (i.e. any interest that may affect, or may reasonably be perceived to affect, an expert's objectivity and independence).

Name and Surname: Cary Kaufman

Activities	Yes/No	Period (From - To)	Information	Details
 1. Do you have current investments³ in a legal entity⁴ with an interest related to breast cancer which either: • has a value of more than 10.000 EUR, or • entitle you to a voting right of 5% or more? 	Yes □	MM/YYYYY	If yes, please provide information about where the investment is placed (Name, Country, Public/Private).	[Please describe the entity and your role.]
2. Have you, within the last five years (January 2018 – December 2022), received remuneration from a legal entity with an interest related to breast cancer?				
A. Employment	Yes □ No ⊠	MM/YYYYY - YYYYYM	 If yes, please specify: Name, Place of the entity; Type of the entity: public, private, etc.; The received amounts for remunerated activities. 	[Please describe your role.]

³ Investments refer to stocks, bonds, stock options, other securities as well as to commercial business interests (e.g., proprietorships, partnerships, joint ventures, board memberships, controlling interest in a company).

⁴ Legal entity refers to any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

Activities	Yes/No	(From - To)	Information	Details
B. Consultancy, including advisory activities	Yes ⊠	05/2018 – 01/2020	Consultant for Kubtec, Inc. maker of specimen mammogram machine. Paid \$12,000 USD over that time. Consultant for Hologic, maker of imaging equipment.	Physician consultant
3. Have you, within the past five years (January 2018 – December 2022), been involved in research related to breast cancer?				
A. Have you been an investigator in research studies on breast cancer?	Yes □	AAAA/WW - AAAA/WW	If yes, please specify if you have been a principal investigator. Please mention the title of each study.	Please describe the study, your role in the study and mention if the results of the study have been published.
B. For the mentioned research studies, did you receive support ⁵ ?	Yes \(\square\)	AAAA/WW - AAAA/WW	If yes, please specify: • the type of received support (grants, funds); • the entity which offered the support - Name, Place, type (public, private); • the received amounts (for public grants please also specify the annual budget of your home institution).	Please mention the title of the studies you refer to.

⁵ **Support** refers to research support (i.e. grants, collaborations, sponsorships) as well as to non-monetary support (equipment, facilities) and other type of support (i.e. honoraria for being a speaker, holding a training).

Activities	Yes/No	Period (From - To)	Information	Details
4. Do you have a membership in national or international organisations ⁶ , including committees, working groups, collaborative platforms, managing bodies or scientific advisory bodies with an interest in breast cancer?	Yes ⊠ No □	10/2018 — 01-2022	National consortium of Breast Centers, a non-profit organization.	On Board of Trustees – no vote.
5. Did you take part in other activities with interests related to breast cancer?	Yes ⊠ No □	10/2018 – 01-2022	Breast Surgeon in private practice	Breast surgeon.
6. Do you have intellectual property rights (IPR) ⁷ related to breast cancer?	Yes □ No ⊠	AAAA/WW - AAAA/WW	Please specify the title of the IPR.	Please describe the IPR.
7. Do any of your immediate family members8 have direct interests related to breast cancer that could undermine your independence?	Yes □ No ⊠	AAAA/WW - AAAA/WW	Please mention the interest.	Please describe the interest.

⁶ Organisation refers to a governmental, international or non-profit organisation.

⁷ Intellectual property rights refer to patents, trademarks, or copyrights (including pending applications) as well as proprietary know-how in a substance, technology or process.

8 Immediate family members refer to family members and relatives (spouse, parents, children, brothers and sisters, irrespective of whether they live at the same address or not) or other persons under the care of the members of the household of the expert.

Notes:

collected and published⁹ before you send the DoI form to the Joint Research Centre (JRC), European Commission. If you declared any direct interests of your immediate family members, it is your responsibility to inform them about how data on their interests are

possible to: JRC-CANCER-POLICY-SUPPORT@ec.europa.eu. After submission of this form, you need to inform the JRC in case of any new activity during the course of the year by sending a message as soon as

M I hereby declare the information disclosed in this form is true and complete to the best of my knowledge.

Breast Cancer subgroup membership¹⁰. 🛛 I agree my DoI form to be publicly available on the JRC Healthcare Quality website, during the European Commission Initiative on

(EU) 2018/172511. 🛛 I am informed that my personal data are stored, processed and published by the European Commission in accordance with Regulation

Name and Surname:

Signature:

Cary S. Kaufman

Date: April 15, 2023

Place: Bellingham, Washington, USA

⁹ Personal data processing including the Declaration of Interests

https://ec.europa.eu/dpo-register/detail/DPR-EC-00656

¹⁰ Note: Technical measures are in place to ensure that search engines do not index the content from the DoI form

Protection of individuals with regard to the processing of personal data